

Douglas S. Weiss

DSW Investment, LLC

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Part 2B of Form ADV: *Brochure Supplement*

01/18/2023

This brochure supplement provides information about Douglas S. Weiss, which supplements the brochure of DSW Investment, LLC.

You should have received a copy of that brochure.

Please contact Douglas S. Weiss, Chief Compliance Officer, if you did not receive DSW Investment, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Douglas S. Weiss is also available on the SEC's website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number, known as a CRD number.

The CRD number for Douglas S. Weiss is 156697.

**Form ADV – Part 2B Brochure
January 18, 2023**

Item 2. Educational Background and Business Experience

Douglas S. Weiss

Year of birth: 1968

Education:

- Pomona College, Bachelor of Arts in English, 1991 (*cum laude*)
- Northeastern University Graduate Business School, MA/MBA with focus in Accounting, September 1993

Professional Designation:

- Chartered Financial Analyst (CFA[®]), granted by CFA Institute, 1997¹

Business Background

- DSW Investment, LLC, 2014-Present
- Douglas S. Weiss, Sole Proprietor, 2006-2014
- Foster & Foster, LLC, Senior Equity Research Analyst – Generalist, 2000-2011
- CIBC World Markets, Equity Analyst – Cable & Entertainment Group, 1998-2000
- Salomon Brothers (now Citigroup), Associate Equity Analyst, 1996-1998
- First Fidelity Bank (now Wachovia), Credit Analyst, 1993-1996

Item 3. Disciplinary Information

There have been no disciplinary infractions over the last ten years. At no time has the firm been involved in any criminal actions, investigations or self-regulatory organization (SRO) proceedings.

Item 4. Other Business Activities

None.

¹ This designation is offered by the CFA Institute (formerly the Association for Investment Management and Research (“AIMR”). To obtain the CFA charter, candidates must successfully complete three difficult examinations and gain at least three years of qualifying work experience, among other requirements. In passing these exams, candidates demonstrate their competence, integrity and extensive knowledge in accounting, ethical and professional standards, economics portfolio management and security analysis.

Item 5. Additional Compensation

Douglas Weiss does not receive any additional compensation from third parties for providing investment advice to its clients and does not compensate anyone for client referrals.

Item 6. Supervision

Douglas Weiss, Chief Compliance Officer, is responsible for the supervision and monitoring of investment advice offered to advisory clients. He can be reached at (609) 430-8407.

Item 7. Requirements for State-Registered Advisers

Douglas Weiss has not been party to any client arbitration claims in any amount, nor has he been found liable in any civil, self-regulatory organization or administrative proceedings.